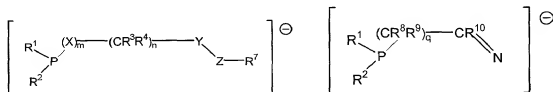


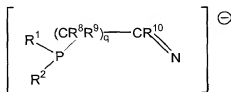
CLAIMS

What is claimed is:

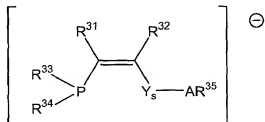
1. A process for the polymerization of olefins, comprising the step of contacting, at a temperature of about -
 100°C to about +200°C, at least one polymerizable olefin
 with an active polymerization catalyst comprising a Group 3
 through 11 (IUPAC) transition metal or a lanthanide metal
 complex of a ligand of the formula (I), (II) or (XII)



(I)



(II)



(XII)

wherein:

R¹ and R² are each independently hydrocarbyl, substituted hydrocarbyl or a functional group;

Y is CR¹¹R¹², S(T), S(T)₂, P(T)Q, NR³⁶ or NR³⁶NR³⁶;

X is O, CR⁵R⁶ or NR⁵;

A is O, S, Se, N, P or As;

Z is O, Se, N, P or As;

each Q is independently hydrocarbyl or substituted hydrocarbyl;

R³, R⁴, R⁵, R⁶, R¹¹ and R¹² are each independently hydrogen, hydrocarbyl, substituted hydrocarbyl or a functional group;

R⁷ is hydrogen, hydrocarbyl, substituted hydrocarbyl or a functional group, provided that when Z is O or Se, R⁷ is not present;

R⁸ and R⁹ are each independently hydrogen, hydrocarbyl, substituted hydrocarbyl or a functional group;

R¹⁰ is hydrogen, hydrocarbyl, substituted hydrocarbyl or a functional group;

5 each T is independently =O or =NR³⁰;

R³⁰ is hydrogen, hydrocarbyl, substituted hydrocarbyl or a functional group;

R³¹ and R³² are each independently hydrogen, hydrocarbyl, substituted hydrocarbyl or a functional group;

10 R³³ and R³⁴ are each independently hydrocarbyl or substituted hydrocarbyl, provided that each is independently an aryl substituted in at least one position vicinal to the free bond of the aryl group, or each independently has an E_s of -1.0 or less;

15 R³⁵ is hydrogen, hydrocarbyl, substituted hydrocarbyl or a functional group, provided that when A is O, S or Se, R³⁵ is not present;

each R³⁶ is independently hydrogen, hydrocarbyl, substituted hydrocarbyl or a functional group;

20 m is 0 or 1;

s is 0 or 1;

n is 0 or 1; and

q is 0 or 1;

and provided that:

25 any two of R³, R⁴, R⁵, R⁶, R⁸, R⁹, R¹¹ and R¹² bonded to the same carbon atom taken together may form a functional group;

30 any two of R¹, R², R³, R⁴, R⁵, R⁶, R⁷, R⁸, R⁹, R¹¹, R¹², R³¹, R³², R³³, R³⁴, R³⁵ and R³⁶ bonded to the same atom or vicinal to one another taken together may form a ring; and

when said ligand is (I), Y is C(O), Z is O, and R¹ and R² are each independently hydrocarbyl, then R¹ and R² are each independently an aryl substituted in one position vici-

nal to the free bond of the aryl group, or R¹ and R² each independently have an E_s of -1.0 or less.

2. The process of claim 1, wherein said transition
5 metal is Ni, Pd, Pt, Fe, Co, Ti, Zr, V, Hf, Cr or Cu.

3. The process of claim 2, wherein said transition
metal is Ni, Pd, Ti or Zr.

10 4. The process of claim 1, wherein the ligand is (I)
and:

the transition metal is Ni, m is 0, n is 1, R³ and R⁴
are hydrogen, Y is CR¹¹R¹², R¹¹ is hydrocarbyl or substituted
hydrocarbyl, R¹² is hydrocarbyl, substituted hydrocarbyl or a
15 functional group, and Z is O; or

the transition metal is Ti, m is 0, n is 1, R³ and R⁴
are hydrogen, Y is CR¹¹R¹², R¹¹ is hydrocarbyl or substituted
hydrocarbyl, R¹² is hydrocarbyl, substituted hydrocarbyl or a
functional group, and Z is O; or

20 the transition metal is Zr, m is 0, n is 1, R³ and R⁴
are hydrogen, Y is CR¹¹R¹², R¹¹ is hydrocarbyl or substituted
hydrocarbyl, R¹² is hydrocarbyl, substituted hydrocarbyl or a
functional group, and Z is O; or

25 the transition metal is Ni, m is 0, n is 1, R³ and R⁴
are hydrogen, R⁷ is hydrocarbyl or substituted hydrocarbyl, Y
is CR¹¹R¹², R¹¹ is hydrogen, R¹² is hydrocarbyl or substituted
hydrocarbyl, and Z is N; or

30 the transition metal is Ni, m is 0, n is 1, R³ and R⁴
are hydrogen, Y is CR¹¹R¹², R¹¹ and R¹² taken together are oxo,
and Z is O; or

the transition metal is Ni, m is 0, n is 1, R³ and R⁴
are hydrogen, R⁷ is hydrocarbyl or substituted hydrocarbyl, Y
is CR¹¹R¹², R¹¹ and R¹² taken together are oxo, and Z is N; or

the transition metal is Ni, m is 0, n is 1, R³ and R⁴ are hydrogen, Y is S(T), T is =O and Z is O; or

the transition metal is Ni, m is 0, n is 1, R³ and R⁴ are hydrogen, Y is S(T), T is =N-silyl, Z is N and R⁷ is silyl; or

the transition metal is Ni, m is 0, n is 1, R³ and R⁴ are hydrogen, Y is S(T), T is =O, Z is N, and R⁷ is hydrocarbyl or substituted hydrocarbyl; or

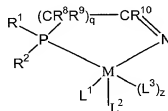
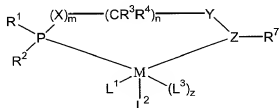
the transition metal is Ni, m is 0, n is 1, R³ and R⁴ are hydrogen, Y is CR¹¹R¹², R¹¹ and R¹² taken together are a ring and Z is O; or

the transition metal is Ni, m is 0, n is 1, R³ and R⁴ are hydrogen, Y is CR¹¹R¹², R¹¹ and R¹² taken together are N-hydrocarbyl- or N-substituted hydrocarbylimino, Z is N and R⁷ is hydrocarbyl or substituted hydrocarbyl; or

the transition metal is Ni, m is 0, n is 1, R³ and R⁴ are hydrogen, Y is S(T), T is =O and Z is O; or

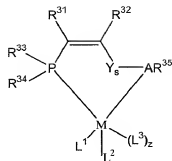
the transition metal is Ni, m is 0, n is 1, R³ and R⁴ are hydrogen, Y is CR¹¹R¹², R¹¹ and R¹² taken together are sulfo, Z is N and R⁷ is hydrocarbyl or substituted hydrocarbyl.

5. A process for the polymerization of olefins, comprising the step of contacting, at a temperature of about - 100°C to about +200°C, at least one polymerizable olefin with a compound of the formula (IV), (V) or (XIII)



(IV)

(V)



(XIII)

wherein:

R¹ and R² are each independently hydrocarbyl, substituted hydrocarbyl or a functional group;

Y is CR¹¹R¹², S(T), S(T)₂, P(T)Q, NR³⁶ or NR³⁶NR³⁶;

X is O, CR⁵R⁶ or NR⁵;

A is O, S, Se, N, P or As;

Z is O, Se, N, P or As;

each Q is independently hydrocarbyl or substituted hydrocarbyl;

R³, R⁴, R⁵, R⁶, R¹¹ and R¹² are each independently hydrogen, hydrocarbyl, substituted hydrocarbyl or a functional group;

R⁷ is hydrogen, hydrocarbyl, substituted hydrocarbyl or a functional group, provided that when Z is O or Se, R⁷ is not present;

R⁸ and R⁹ are each independently hydrogen, hydrocarbyl, substituted hydrocarbyl or a functional group;

R¹⁰ is hydrogen, hydrocarbyl, substituted hydrocarbyl or a functional group;

each T is independently =O or =NR³⁰;

R³⁰ is hydrogen, hydrocarbyl, substituted hydrocarbyl or a functional group;

R³¹ and R³² are each independently hydrogen, hydrocarbyl, substituted hydrocarbyl or a functional group;

101450.6601280
0671099.05711
R³³ and R³⁴ are each independently hydrocarbyl or substituted hydrocarbyl, provided that each is independently an aryl substituted in at least one position vicinal to the free bond of the aryl group, or each independently has an E_s of -1.0 or less;

R³⁵ is hydrogen, hydrocarbyl, substituted hydrocarbyl or a functional group, provided that when A is O, S or Se, R³⁵ is not present;

each R³⁶ is independently hydrogen, hydrocarbyl, substituted hydrocarbyl or a functional group;

m is 0 or 1;

s is 0 or 1;

n is 0 or 1; and

q is 0 or 1;

M is a Group 3 through Group 11 transition metal or a lanthanide metal; and

L¹ is a monodentate monoanionic ligand into which an ethylene molecule may insert between L¹ and M, and L² is a monodentate neutral ligand which may be displaced by ethylene or an empty coordination site, or L¹ and L² taken together are a monoanionic bidentate ligand into which ethylene may insert between said monoanionic bidentate ligand and said nickel atom, and each L³ is independently a monoanionic ligand and z is the oxidation state of M minus 2;

and provided that;

any two of R³, R⁴, R⁵, R⁶, R⁸, R⁹, R¹¹ and R¹² bonded to the same carbon atom taken together may form a functional group;

any two of R¹, R², R³, R⁴, R⁵, R⁶, R⁷, R⁸, R⁹, R¹¹, R¹², R³¹, R³², R³³, R³⁴, R³⁵ and R³⁶ bonded to the same atom or vicinal to one another taken together may form a ring; and

when said compound is (IV), Y is C(O), Z is O, and R¹ and R² are each independently hydrocarbyl, then R¹ and R² are

each independently an aryl substituted in one position vicinal to the free bond of the aryl group, or R¹ and R² each independently have an E_s of -1.0 or less.

5 6. The process of claim 5, wherein M is Ni, Pd, Pt, Fe, Co, Ti, Zr, V, Hf, Cr or Cu.

7. The process of claim 6, wherein M is Ni, Pd, Ti or Zr.

10 8. The process of claim 5, wherein the compound is (IV) and:

15 M is Ni, m is 0, n is 1, R³ and R⁴ are hydrogen, Y is CR¹¹R¹², R¹¹ is hydrocarbyl or substituted hydrocarbyl, R¹² is hydrocarbyl, substituted hydrocarbyl or a functional group, and Z is O; or

20 M is Ti, m is 0, n is 1, R³ and R⁴ are hydrogen, Y is CR¹¹R¹², R¹¹ is hydrocarbyl or substituted hydrocarbyl, R¹² is hydrocarbyl, substituted hydrocarbyl or a functional group, and Z is O; or

25 M is Zr, m is 0, n is 1, R³ and R⁴ are hydrogen, Y is CR¹¹R¹², R¹¹ is hydrocarbyl or substituted hydrocarbyl, R¹² is hydrocarbyl, substituted hydrocarbyl or a functional group, and Z is O; or

30 M is Ni, m is 0, n is 1, R³ and R⁴ are hydrogen, R⁷ is hydrocarbyl or substituted hydrocarbyl, Y is CR¹¹R¹², R¹¹ is hydrogen, R¹² is hydrocarbyl or substituted hydrocarbyl, and Z is N; or

 M is Ni, m is 0, n is 1, R³ and R⁴ are hydrogen, Y is CR¹¹R¹², R¹¹ and R¹² taken together are oxo, and Z is O; or

 M is Ni, m is 0, n is 1, R³ and R⁴ are hydrogen, R⁷ is hydrocarbyl or substituted hydrocarbyl, Y is CR¹¹R¹², R¹¹ and R¹² taken together are oxo, and Z is N; or

M is Ni, m is 0, n is 1, R³ and R⁴ are hydrogen, Y is S(T), T is =O and Z is O; or

M is Ni, m is 0, n is 1, R³ and R⁴ are hydrogen, Y is S(T), T is =N-silyl, Z is N and R⁷ is silyl; or

M is Ni, m is 0, n is 1, R³ and R⁴ are hydrogen, Y is S(T), T is =O, Z is N, and R⁷ is hydrocarbyl or substituted hydrocarbyl; or

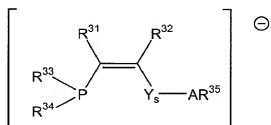
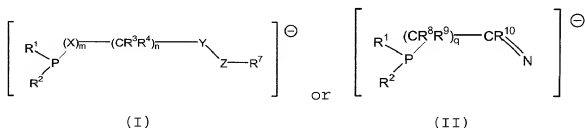
M is Ni, m is 0, n is 1, R³ and R⁴ are hydrogen, Y is CR¹¹R¹², R¹¹ and R¹² taken together are a ring and Z is O; or

M is Ni, m is 0, n is 1, R³ and R⁴ are hydrogen, Y is CR¹¹R¹², R¹¹ and R¹² taken together are N-hydrocarbyl- or N-substituted hydrocarbylimino, Z is N and R⁷ is hydrocarbyl or substituted hydrocarbyl; or

M is Ni, m is 0, n is 1, R³ and R⁴ are hydrogen, Y is S(T), T is =O and Z is O; or

the transition metal is Ni, m is 0, n is 1, R³ and R⁴ are hydrogen, Y is CR¹¹R¹², R¹¹ and R¹² taken together are sulfo, Z is N and R⁷ is hydrocarbyl or substituted hydrocarbyl.

9. A polymerization catalyst component comprising a Group 3 through 11 transition metal or lanthanide metal complex of a ligand of the formula



(XII)

wherein:

R^1 and R^2 are each independently hydrocarbyl, substituted hydrocarbyl or a functional group;

Y is $CR^{11}R^{12}$, $S(T)$, $S(T)_2$, $P(T)Q$, NR^{36} or $NR^{36}NR^{36}$;

X is O, CR^5R^6 or NR^5 ;

A is O, S, Se, N, P or As;

Z is O, Se, N, P or As;

each Q is independently hydrocarbyl or substituted hydrocarbyl;

R^3 , R^4 , R^5 , R^6 , R^{11} and R^{12} are each independently hydrogen, hydrocarbyl, substituted hydrocarbyl or a functional group;

R^7 is hydrogen, hydrocarbyl, substituted hydrocarbyl or a functional group, provided that when Z is O or Se, R^7 is not present;

R^8 and R^9 are each independently hydrogen, hydrocarbyl, substituted hydrocarbyl or a functional group;

R^{10} is hydrogen, hydrocarbyl, substituted hydrocarbyl or a functional group;

each T is independently =O or = NR^{30} ;

R^{30} is hydrogen, hydrocarbyl, substituted hydrocarbyl or a functional group;

R^{31} and R^{32} are each independently hydrogen, hydrocarbyl, substituted hydrocarbyl or a functional group;

R^{33} and R^{34} are each independently hydrocarbyl or substituted hydrocarbyl, provided that each is independently an aryl substituted in at least one position vicinal to the free bond of the aryl group, or each independently has an E_s of -1.0 or less;

R^{35} is hydrogen, hydrocarbyl, substituted hydrocarbyl or a functional group, provided that when A is O, S or Se, R^{35} is not present;

each R³⁶ is independently hydrogen, hydrocarbyl, substituted hydrocarbyl or a functional group;

m is 0 or 1;

s is 0 or 1;

n is 0 or 1; and

q is 0 or 1;

and provided that:

any two of R³, R⁴, R⁵, R⁶, R⁸, R⁹, R¹¹ and R¹² bonded to the same carbon atom taken together may form a functional group;

any two of R¹, R², R³, R⁴, R⁵, R⁶, R⁷, R⁸, R⁹, R¹¹, R¹², R³¹, R³², R³³, R³⁴, R³⁵ and R³⁶ bonded to the same atom or vicinal to one another taken together may form a ring; and

when said ligand is (I), Y is C(O), Z is O, and R¹ and R² are each independently hydrocarbyl, then R¹ and R² are each independently an aryl substituted in one position vicinal to the free bond of the aryl group, or R¹ and R² each independently have an E_s of -1.0 or less.

10. The component of claim 9, which is on a solid support.

11. The component of claim 9, wherein a cocatalyst which is an alkylaluminum compound or a borane or both is also present.

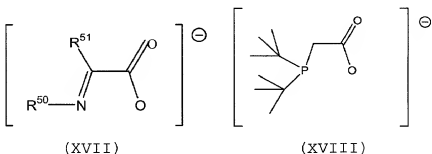
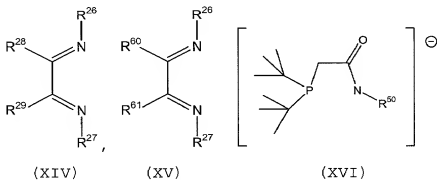
12. A process for forming an ethylene/polar monomer copolymer, comprising the step of contacting, under polymerizing conditions, a nickel complex of a bidentate neutral ligand or a bidentate monoanionic ligand, with a monomer component comprising one or more hydrocarbon olefins and one or more polar comonomers (and other optional components such as, for example, one or more cocatalysts and/or other addi-

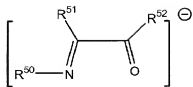
tives), at a temperature of about 60°C to about 170°C, provided that when CO is present, at least one other polar monomer is present.

13. The process of claim 12, wherein ethylene is present and an ethylene partial pressure of at least about 0.67 MPa is used.

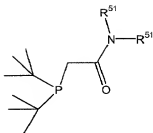
14. The process of claim 12, wherein said one or more polar comonomers comprises $H_2C=CHR^{20}(O)Y$, or $H_2C=CR^{25}C(O)Y$, wherein R^{20} is alkylene or substituted alkylene, R^{25} is hydrogen, and Y is $-OH$, $-NR^{21}R^{22}$, $-OR^{23}$, or $-SR^{24}$, wherein R^{21} and R^{22} are each independently hydrogen, hydrocarbyl or substituted hydrocarbyl, R^{23} and R^{24} are each hydrocarbyl or substituted hydrocarbyl.

15. The process of claim 12, wherein said bidentate ligand is

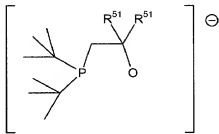




(XIX)



(XX)



(XXI)

wherein:

R^{26} and R^{27} are each independently hydrocarbyl or substituted hydrocarbyl, provided that the carbon atom bound to the imino nitrogen atom has at least two carbon atoms bound to it;

R^{28} and R^{29} are each independently hydrogen, hydrocarbyl, substituted hydrocarbyl, or R^{28} and R^{29} taken together are hydrocarbylene or substituted hydrocarbylene to form a carbocyclic ring;

R^{60} and R^{61} are each independently functional groups bound to the rest of (XV) through heteroatoms (for example O, S or N), or R^{60} and R^{61} (still containing their heteroatoms) taken together form a ring.

each R^{50} is independently hydrocarbyl or substituted hydrocarbyl;

each R^{51} is independently hydrogen, hydrocarbyl or substituted hydrocarbyl; and

each R^{52} is hydrocarbyl, substituted hydrocarbyl, hydrocarbyloxy, or substituted hydrocarbyloxy.

16. A polymer, consisting essentially of repeat units derived from ethylene, and one or more polar olefins of the formula $H_2C=CHC(O)R^{32}$, wherein R^{32} is $-OR^{34}$ or any group readily derivable from it, and R^{34} is hydrocarbyl or substituted hydrocarbyl, wherein:

said polymer contains "first branches" of the formula $-(CH_2)_nCH_3$ and "second branches" of the formula $-(CH_2)_mC(O)R^{32}$, wherein m and n are independently zero or an integer of 1 or more; and

said polymer has the following structural characteristics:

(a) one or both of:

(1) the ratio of first branches wherein n is 0 to first branches wherein n is 1 is about 3.0 or more; and

(2) the ratio of first branches wherein n is 0 to first branches wherein n is 3 is 1.0 or more; and

(b) one or both of:

(1) the total number of first branches in which n is 0, 1, 2 and 3 in said polymer is about 10 or more per 1000 CH_2 groups; and

(2) the incorporation of repeat units derived from $H_2C=CHC(O)R^{32}$ is 0.3 mole percent or more based on the total repeat units derived from the hydrocarbonolefin and $H_2C=CHC(O)R^{32}$.

17. A polymer, consisting essentially of repeat units derived from:

one or more hydrocarbon olefins, such as ethylene, and one or more polar olefins of the formula $H_2C=CHC(O)R^{32}$, wherein R^{32} is $-OR^{34}$, or any group readily derivable from it, and R^{34} is hydrocarbyl or substituted hydrocarbyl;

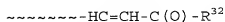
wherein in said polymer incorporation of repeat units derived from $\text{H}_2\text{C}=\text{CHC}(\text{O})\text{R}^{32}$ is 0.3 mole percent or more based on the total repeat units; and

wherein said polymer has one or both of the following structural characteristics:

at least 5 mole percent of said repeat units derived from $\text{H}_2\text{C}=\text{CHC}(\text{O})\text{R}^{32}$ are present in said polymer as end groups; and

said end groups are at least 0.001 mole percent of the total repeat units in said polymer;

and provided that said end groups have the formula



wherein ~~~~~ is the remainder of the polymer chain of said end group.

18. A polymer, consisting essentially of:
repeat units derived from ethylene;

repeat units derived from one or more monomers of the formula $\text{H}_2\text{C}=\text{CHC}(\text{O})\text{R}^{32}$, wherein each R^{32} is independently $-\text{OR}^{34}$ or any group readily derivable from it, and each R^{34} is independently hydrocarbyl or substituted hydrocarbyl, and

repeat units derived from one or more alpha-olefins of formulas $\text{H}_2\text{C}=\text{CH}-(\text{CH}_2)_t-\text{H}$ and/or $\text{H}_2\text{C}=\text{CH}-\text{R}^{75}-\text{G}$, wherein t is an integer of 1 to 20, R^{75} is alkylene or substituted alkylene, and G is an inert functional group.